

Customer Privacy Policy Notice

The information contained in this section will also be disclosed in TVAMP's Privacy Policy Statement. This statement will be provided to all clients in accordance with the rules and regulations of the *Gramm-Leach-Bliley Act of 1999*.

As a registered investment advisor, Tennessee Valley Asset Management Partners, LLC and its investment advisor representatives will gather and develop personal information regarding our clients and prospective clients. This information will be gathered and developed by us for the following purposes:

1. To determine the client's or prospective clients' financial goals and objectives
2. To determine the level of advisory services needed and desired by the client
3. To provide the client or prospective client with specific recommendations regarding advisory services
4. To provide the client or prospective client with specific recommendations regarding financial products
5. To provide on-going support and recommendations regarding financial products held in the client's or prospective client's account

Client or prospective client's information that Tennessee Valley Asset Management Partners, LLC will collect may include, but not be limited to the following:

- Information received from clients on financial inventories through consultations with its representatives. This information may include personal and household information such as income, spending habits, investment objectives, financial goals, statements of account and other records concerning the clients' financial conditions and assets, together with information concerning employee benefits and retirement plan interests, wills, trusts, mortgages and tax returns.
- Information developed as part of financial plans, analyses or investment advisory services.
- Information concerning investment advisory account transactions, such as wrap account transactions.
- Information about clients' financial products and services transactions with Tennessee Valley Asset Management Partners, LLC

When a client or prospective client account is closed, Tennessee Valley Asset Management Partners, LLC will continue to keep all client information confidential in accordance with the principles stated in its privacy policy.

A copy of the Privacy Policy Notice will be delivered to all clients and prospective clients in writing by at least one of the following methods:

- By hand delivering a copy to the client or prospective client
- Mailing a copy to the client's or prospective client's address on record
- If business is conducted electronically, a notice may be posted on an electronic site as long as the client or prospective client acknowledges receipt of the Privacy Policy Notice prior to the client obtaining any services or products from Tennessee Valley Asset Management Partners, LLC

A copy of the Privacy Policy Notice will be provided to the client or prospective client no later than the time a client establishes a relationship with Tennessee Valley Asset Management Partners, LLC, unless this situation would cause a delay in the client or prospective client obtaining services and the client agrees to accept the notice at a later date. When this situation applies, a copy of the Privacy Policy Statement will be delivered to the client or prospective client within a reasonable time period following the transaction.

Any time a change is made to the Privacy Policy, the statement to clients or prospective clients will be revised. The revised statement will be given to all affected clients prior to any disclosure of information. In addition, Tennessee Valley Asset Management Partners, LLC will provide a copy of its Privacy Policy Statement to all current and existing clients or prospective clients as required by law.